

Device for scanning and cleaning an information carrier

The invention relates to a device for reading information represented by marks on an information carrier, the device comprising a scanning unit for generating a read signal from the marks, a radiation source and optical elements for generating a beam of radiation, focusing means for controlling at least one of the optical elements for creating a spot by focusing the beam, and cleaning means for cleaning a surface of the information carrier.

5 The invention further relates to an information carrier for use in the device, which information carrier is provided with a protective cartridge.

10 A device for scanning an information carrier is known from WO 98/53455. The information carrier carries information represented by marks, e.g. optically readable effects in a track on a disc shaped information carrier. The device comprises a drive unit for rotating the information carrier. For scanning the track a head constituted by optical elements is positioned opposite the track by a positioning unit, while the information carrier is rotated.

15 The problem of contaminants on the surface of the information carrier is discussed. Contaminants can be removed by several methods, e.g. by hand or by inserting a special cleaning disk in the device for removing contaminants from within the device. A cleaning system is described having a cleaning pad or brush build in the device for cleaning the surface of the information carrier. Further the cleaning system includes a pad-cleaning component for removing the contaminants from the pad. A problem of the known system is that it is mechanically complex and not suitable for removing stubborn contaminants. Such contaminants are particularly disturbing for reading information from high density information carriers.

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It is an object of the invention to provide a scanning device having a cleaning system that is more efficient in removing stubborn contaminants.

For this purpose, the device as described in the opening paragraph is characterized in that the cleaning means comprise control means for performing said cleaning

by controlling the focusing means to focus the spot substantially on the surface of the information carrier and for controlling the power of the radiation source. The information carrier as described in the opening paragraph is characterized in that the cartridge is provided with a contamination collecting means at a distance from the surface of the information carrier for adhering particles removed from the surface of the information carrier by said cleaning, in particular at least part of the inner walls of the cartridge being covered by material with a high surface energy. The measures have the effect that the surface of the information carrier is cleaned by locally heating the contaminated area and/or the contaminating particle itself. Due to the available power being focused on the small area constituting the spot said contamination is effectively removed from the surface. Particles removed from the surface can be prevented from again contaminating the surface by providing a material to which particles strongly adhere on the inner walls of the cartridge or disc drive.

The invention is based on the following recognition. First the inventors have seen that mechanical cleaning methods are not effective for removing smaller contaminating particles and stubborn surface contamination. Secondly the inventors have seen that industrial laser cleaning techniques, for example for semiconductor device fabrication, etc. known from "Laser cleaning of polymer surfaces; T. Fourier et al., Applied Physics A 72, 1 (2001), Materials Science & Processing", can be build into a scanning device like a home-use disc drive. Using a semiconductor radiation source and focusing a spot on the surface of the information carrier, and controlling the power of the radiation source provides just enough power to achieve the cleaning effect.

In an embodiment of the device the control means are arranged for controlling the power of the radiation source in pulses. The effect of using short pulses is that the radiation source can deliver a peak power that is substantially higher than the average power, because the power dissipation is based on average power. The peak power increases the cleaning efficiency.

A further embodiment of the device comprises detection means for detecting possible contamination of the surface, and the control means are arranged for cleaning parts of the surface where said possible contamination is detected. This has the advantage that only contaminated parts of the surface have to be cleaned, and this reduces the cleaning time.

These and other aspects of the invention will be apparent from and elucidated further with reference to the embodiments described by way of example in the following description and with reference to the accompanying drawings, in which

Fig. 1a shows an information carrier (top view),  
5 Fig. 1b shows an information carrier (cross section),  
Fig. 2 shows an information carrier in a cartridge,  
Fig. 3 shows a radiation beam for reading a track,  
Fig. 4 shows a radiation beam focused on the surface for cleaning,  
Fig. 5 shows a reading device having laser control for cleaning, and  
10 Fig. 6 shows a recording device having laser control for cleaning.

Corresponding elements in different Figures have identical reference numerals.

Fig. 1a shows a disc-shaped information carrier 11 having a track 9 and a central hole 10. The track 9, being the position of the series of (to be) recorded marks representing information, is arranged in accordance with a spiral pattern of turns constituting substantially parallel tracks on an information layer. The information carrier may be magnetically readable, e.g. a hard-disk, or optically readable, called an optical disc, and has an information layer of a read-only or recordable type. Examples of read-only discs are CD or DVD, and of a recordable disc are the CD-R and CD-RW, and writable versions of DVD, such as DVD+RW and DVD+R, and the high density writable optical disc using blue lasers, called Blue-ray Disc (BD). Further details about the DVD disc can be found in reference: 15 *ECMA-267: 120 mm DVD – Read-Only Disc - (1997)*. The information is represented on the information layer by recording optically detectable marks along the track, e.g. crystalline or amorphous marks in phase change material. The surface of the information carrier is suitable 20 for cleaning by laser power as described below. It is noted that the laser cleaning of the surface can be used for discs with cartridge, but also for discs without cartridge. Reliability 25 for both reading and writing can be improved.

Fig. 1b is a cross-section taken along the line b-b of the information carrier 11 of the recordable type, in which a transparent substrate 15 is provided with a recording layer 30 16 and a protective layer 17. In an embodiment of a multi-layer storage medium the protective layer 17 comprises one or more substrate layer(s) and/or recording layer(s), for example as in DVD where the recording layer is at a 0.6 mm substrate and a further substrate of 0.6 mm is bonded to the back side thereof. The track 9 on the recordable type of information carrier may be indicated by a pre-embossed track structure provided during

manufacture of the blank information carrier. The track structure is constituted, for example, by a pregroove 14 which enables a read/write head to follow the track during scanning. The track structure comprises position information, e.g. addresses, for indication the location of units of information, usually called information blocks. The position information includes 5 specific synchronizing marks for locating the start of such information blocks. The pregroove 14 may be implemented as an indentation or an elevation of the substrate 15 material, or as a material property deviating from its surroundings.

The information carrier 11 may, for example, be for carrying information representing digitally encoded video according to a standardized format like MPEG2.

10 Fig. 2 shows an information carrier in a cartridge. The information carrier 11 is enclosed by a cartridge 21. The cartridge is closable by a slider 22, which slider is moved away when the cartridge is inserted in a device for making the information carrier surface accessible for a read head. The centre hole 10 is visible for coupling to a driving unit in the device, but may alternatively be covered by the slider 22. The cartridge and slider constitute a 15 substantially closed box around the information carrier 11 when not inserted in a device. The cartridge is provided with a contamination collecting unit 23 for collecting contamination which has been separated from the surface of the information carrier by laser cleaning as described below. In an embodiment the contamination collecting unit 23 is constituted by the inner walls of the cartridge being covered with a layer of material to which particles adhere, 20 e.g. a material with a high surface energy being highly reactive such as (chemically treated) activated carbon.

Fig. 3 shows a radiation beam for reading a track. A radiation source 34, e.g. a semiconductor laser, generates radiation which is guided via optical elements like objective lens 32 for constituting a radiation beam 35. The radiation beam enters the surface 36 of an 25 information carrier, which is constituted by a substrate 37 of a predefined thickness as indicated by the arrow, e.g. 0.6 mm for DVD or 0.1 mm for a BD. Behind the substrate 37 there is located a recording layer having a track constituted by marks 38. A second substrate supports the structure for mechanical stability, or provides a second surface for reading and recording information. The radiation beam 35 is focused to form a spot 66 on the marks. 30 Radiation is reflected to a detector 33 for generating a read signal. The surface 36 of the information carrier is contaminated by a dust particle 31. The optical beam 35 may be disturbed by the particle. The excellent reliability of the compact disc (CD) can be highly attributed to the presence of a 1.2 mm thick transparent substrate on top of the data layer. During reading and writing, the laser beam is focused through the thick substrate onto the

data layer. The laser beam is out-of-focus on the surface of the disc, making the CD system rather insensitive to dust and fingerprints. The increase in data capacity of optical storage systems beyond CD (i.e. DVD and BD) has been realized using an objective with a higher numerical aperture and a laser with a shorter wavelength. However, in order to limit optical 5 aberrations and to achieve sufficient optical tolerances, the thickness of the transparent substrate is reduced to 0.6 mm for DVD and 0.1 mm for BD. Hence DVD and BD, as well as future optical storage generations, are more vulnerable to dust and fingerprints, which may endanger their reliability. According to the invention laser cleaning as described below is built in the reading and/or recording device to increase the reliability. The disturbance, e.g. 10 change in the intensity of the read signal, change in tracking signals or read errors, may be detected for determining the presence and location of the particle 31.

Fig. 4 shows a radiation beam focused on the surface for cleaning. The same optical elements are shown as in Fig. 3. The optical system is arranged for focusing the radiation beam 35 on the surface or near the surface, e.g. above the surface near the particle 15 31. By applying sufficient power to the radiation source 34 the dust particle is either loosened from the surface or evaporated. Instead of focussing the laser beam on the data layer, as is done during reading and writing, the laser is focussed on the top surface of the disc for 20 cleaning. Short laser pulses (typically  $\sim 20$  ns) of sufficiently high fluence (typically  $\sim 100$  mJ/cm<sup>2</sup>) can remove contaminants, such as dust and fingerprints, due to rapid thermal expansion, ejecting the contaminants from the disc surface. The following calculation uses a practical laser of a maximum laser power of 250 mW (pulsed). A pulse width of 20 ns and a 25 spot size of  $1 \times 5 \mu\text{m}^2$  result in a fluence of 100 mJ/cm<sup>2</sup>. Assuming a linear disc velocity of 10 m/s (which is about 3x the normal speed of DVD) and a pulse frequency of 10 MHz, a cleaning rate of 0.5 cm<sup>2</sup>/s is obtained. The laser power of 250 mW is not a strict requirement; the only requirement is that a fluence of approximately 100 mJ/cm<sup>2</sup> is obtained during a pulse of the order of tens of nanoseconds. The maximum laser power of current high-speed CD-R drives is approximately 200 mW (pulsed). The efficiency of the light path (usually around 40%) has to be taken into account. Therefore, using a laser power of 80 mW on the disc, a pulse width of 20 ns and a spot size of  $1 \times 1.6 \mu\text{m}^2$ , a fluence of 100 mJ/cm<sup>2</sup> can be obtained. 30 Again assuming a linear disc velocity of 10 m/s and a pulse frequency of 10 MHz, a cleaning rate of 0.16 cm<sup>2</sup>/s is obtained. Dust particles which affect the read out or writing of a disc will generally cover an area much smaller than one square centimetre, and can thus be quickly removed.

Figs. 5 and 6 show apparatuses according to the invention for scanning an information carrier 1, which have laser control for cleaning. The apparatus of Fig. 5 is arranged for reading the information carrier 1, which information carrier is identical to the information carriers shown in Fig. 1 or 2. The device is provided with read means comprising a read head 52 for scanning the track on the information carrier, a drive unit 55 for rotating the information carrier 1, a read signal processing unit 53 for example comprising a channel decoder and an error corrector and a system control unit 56. The read head comprises an optical system of a known type for generating a radiation spot 66 focused on a track of the recording layer of the information carrier via a radiation beam 65. The radiation beam 65 is generated by a radiation source, e.g. a laser diode. The read head 52 further comprises a focusing actuator 59 for focusing the radiation beam 65 on the recording layer and a tracking actuator (not shown) for fine positioning of the spot 66 in radial direction on the center of the track. The radiation reflected by the recording layer is detected by a detector of a usual type, e.g. a four-quadrant diode, for generating detector signals 57 including a read signal, a tracking error and a focusing error signal. During reading, the read signal is converted into output information, indicated by arrow 64, in the read signal processing unit 53. The apparatus has positioning means 54 for coarsely positioning the read head 52 in the radial direction on the track, the fine positioning being performed by the tracking actuator. The tracking actuator may comprise coils for radially moving an optical element or may be arranged for changing the angle of a reflecting element on a movable part of the read head or on a part on a fixed position in the case part of the optical system is mounted on a fixed position. The apparatus is provided with a cleaning control unit 51 for focusing the beam on the surface of the information carrier and for controlling the power of the laser for cleaning. For generating enough power for effective cleaning the power of the laser is applied in pulses. The peak power is delivered during said pulses, and the average power for the laser is kept sufficiently low by the periods between the pulses. The device is further provided with a control unit 56 for receiving commands from a controlling computer system or from a user and for controlling the apparatus via control lines 58, e.g. a system bus connected to the drive unit 55, the positioning means 54, the cleaning control unit 51 and the read signal processing unit 53. To this end, the control unit comprises control circuitry, for example a microprocessor, a program memory and control gates, for performing the procedures described below. The control unit 56 may also be implemented as a state machine in logic circuits. The cleaning control unit 51 may be arranged for controlling the cleaning function via the firmware of the drive and be integrated with control unit 56. As for the hardware, care

should be taken that the focusing actuator 59 has a sufficient moving range perpendicular to the disc surface, in order to be able to focus both on the data layer and the disc surface. The optical elements in the light path of an optical disc drive are generally designed to minimize optical aberrations during focussing on the data layer through a substrate. If designed for a  
5 thin substrate, the optical aberrations while focussing on the disc surface will remain relatively small. Depending on the thickness of the substrate some of the optical elements may be adapted for focussing on the surface.

In an embodiment of the device the device has a contamination detection unit  
50. In order to remove a contaminant particle from an optical disc surface by laser cleaning,  
10 the location of the contaminant is determined. The basic idea is that only contaminant particles which affect the optical reading and/or writing process should be removed. Contaminants that have no effect on the optical recording process will be left alone. In case of major contamination the user data rate may be temporarily affected during cleaning, which is preferable over unrecoverable data loss or write failure.

15 In a first embodiment of contamination detection unit 50 a contaminant is localized on a disc surface by detecting occurrence of unrecoverable data error(s). The approach assumes that an unrecoverable data error is caused by contaminants. When unrecoverable errors are detected and the tracking signal is still present, the exact location of the unrecoverable data error(s) is known. The area of the track involved is cleaned by  
20 focussing the laser on the surface. In an embodiment by alternatingly focussing on the disc surface and the data layer, the disc is laser cleaned by laser pulses focussed on the disc surface, while fully remaining on track due to the alternating focussing on the data layer. In an embodiment for a high density disc with a thin substrate contaminants are most harmful, and switching quickly between focussing on the data layer and focussing on the disc surface  
25 is possible because the distance between the data layer and the disc surface is small.

30 In a second embodiment of contamination detection unit 50 a contaminant is localized on a disc surface by detecting occurrence of loss of tracking signals. The actuator data at the moment of loss of tracking signal can be stored. In this way, the radial position of the contaminant is known. Starting from this radial position, the disc is laser cleaned by laser pulses focussed on the disc surface.

In an embodiment upon detection of a contaminant (either by means of detecting an unrecoverable data error, loss of tracking signal, or deviant reflectivity), the laser cleaning process is started from the respective radial position in outward direction. The laser cleaning process will last for a fixed time or fixed area. If only part of the contaminant has

been removed (as detected again by an unrecoverable data error, loss of tracking signal, or deviant reflectivity), the laser cleaning process will again continue for a fixed time or fixed area.

In an embodiment of contamination detection unit 50 the disc surface is  
5 scanned for contaminants before reading or writing. This can e.g. be done by measuring the reflection of a laser beam focussed on the disc surface. Using an actuator, the laser is scanned over the entire disc surface. Areas where the reflectivity is different from the average value are likely contaminated. Upon detection of an area with a deviant reflectivity value, the disc is laser cleaned by laser pulses focussed on the disc surface. This approach will take more  
10 time than the embodiment above in which errors are detected, because also particles which do not (heavily) affect the optical reading and/or writing process will be removed. However the cleaning will advantageously be preventing problems during future writing or reading operations.

In an embodiment of the read device or the write device the disc is scanned for  
15 contamination upon insertion of the disc into the drive. Any contaminated part is cleaned immediately, or a background process is started for cleaning when no user read or write of data is required.

In an embodiment of the read device or the write device an oblong shaped laser spot for cleaning is obtained by putting a cylindrical lens in the light path. An oblong  
20 shaped spot is used to increase the cleaning rate, i.e. to reduce the cleaning time.

Fig. 6 shows a device for writing information on an information carrier having laser control for cleaning. The information carrier is of a type which is (re)writable in, for example a magneto-optical or optical manner (via phase change or dye) by means of a beam 65 of electromagnetic radiation. The device is usually also equipped for reading and  
25 comprises the same elements as the apparatus for reading described above with Fig. 5, except that it comprises write means which include a write/read head 62 and a write signal processing unit 60, which comprises for example a formatter, an error encoder and a channel encoder. The write/read head 62 has the same function as the read head 52 together with a write function and is coupled to the write signal processing unit 60. The information  
30 presented to the input of the write signal processing unit 60 (indicated by the arrow 63) is distributed over logical and physical sectors according to formatting and encoding rules and converted into a write signal 61 for the write/read head 62. The system control unit 56 is arranged for controlling the cleaning function as described above for the reading apparatus. During the writing operation, marks representing the information are formed on the

information carrier. Writing and reading of information for recording on optical discs and usable formatting, error correcting and channel coding rules, are well-known in the art, e.g. from the CD system. The apparatus is provided with a cleaning control unit 51 for controlling the power of the laser for cleaning, and in an embodiment provided with a contamination detection unit 50, having the same function as described above for the read device and its embodiments.

In an embodiment the read device or the write device is provided with a data buffer (not shown) coupled to the read signal processing unit 53 or the write signal processing unit 60. The size of the data buffer is determined such that a few seconds worth of data can be stored. Several square centimeters of disc surface can be cleaned during reading and/or writing without affecting the user data rate using the practical laser calculation given with Fig. 4.

The laser cleaning process will remove contaminant particles from the disc surface. Once removed, the motion of the particles will be affected by the air flow in the optical drive. In an embodiment the inside walls of the optical drive can be (partly) covered by a material to which the particles strongly adhere, in order to prevent the same particles from contaminating the disc surface again, e.g. a material with a high surface energy which is highly reactive such as (chemically treated) activated carbon.

Although the invention has been explained mainly by embodiments using the optical information carriers, other media, such as a magnetic disc or tape, may be used. The focusing of the spot and the power of the laser during cleaning must be adjusted not to disturb the surface of a magnetic disc, e.g. not removing a lubricant from the surface of a hard disk. Further in a combination drive, i.e. a device having at least two laser sources for two different types of optical discs like CD-R and DVD, the laser source used for cleaning may be a different laser than the laser used for reading the information carrier. For example a powerful laser build in the device for writing CD-R may advantageously be used for cleaning a DVD disc. It is noted, that in this document the word 'comprising' does not exclude the presence of other elements or steps than those listed and the word 'a' or 'an' preceding an element does not exclude the presence of a plurality of such elements, that any reference signs do not limit the scope of the claims, that the invention may be implemented by means of both hardware and software, and that several 'means' may be represented by the same item of hardware. Further, the scope of the invention is not limited to the embodiments, and the invention lies in each and every novel feature or combination of features described above.